

## Methodologically Sound Measures of Disease Severity: Case of Tinnitus Disorders

Satyendra Nath Chakrabartty

Indian Statistical Institute, Indian Maritime University, Indian Ports Association.

### Article Info

**Received:** February 22, 2024

**Accepted:** May 13, 2024

**Published:** May 27, 2024

**\*Corresponding author:** Satyendra Nath Chakrabartty, Indian Statistical Institute, Indian Maritime University, Indian Ports Association.

**Citation:** Satyendra Nath Chakrabartty. (2024) "Methodologically Sound Measures of Disease Severity: Case of Tinnitus Disorders.", International Journal of Medical Case Reports and Medical Research, 2(3); DOI: 10.61148/2994-6905/IJMCRMR/032

**Copyright:** © 2024. Satyendra Nath Chakrabartty. This is an open access article distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

### Abstract:

**Background:** Patient-reported-outcomes (PRO) scales, health related quality of life (HRQoL) tools used in disease assessment differ on various aspects and suffer from methodological limitations. The paper discusses methodological limitations of rating scales with Tinnitus disorders as an illustrative case and provides a methodologically sound method of converting scores of  $i$ -th item and also health-profile from EQ-5D-5L to equidistant scores followed by standardization and further transformation to proposed scores ( $P_i$ ) ranging from 1 to 100. Dimension scores ( $D_i$ ) is sum of  $P_i$ -scores of items/indicators belonging to a dimension. Tinnitus severity Index ( $TSI$ ) is the scale score = sum of  $D_i$ 's = sum of all  $P_i$ 's and can include all indicators in ratio or ordinal scale irrespective of scale formats without any bias for advantaged or disadvantaged groups.

**Results:** TSI satisfy desired properties, facilitate meaningful aggregation, parametric analysis, regression equations involving  $TSI$ , HRQoL, assessment of progress/deterioration, and psychometric parameters in better fashions.

**Conclusions:** The method is well applicable for scales to assess severity/disability of any disease where disease-status is assessed by PROs and pathological, clinical variables and various HRQoL instruments. Needs for further investigation on robustness and clinical validations are proposed.

**Keywords:** tinnitus handicap inventory; tinnitus functional index; normal distribution; regression; progress path; equivalent scores.

### Background:

Diseases affect organ functions (both irreversible and reversible components) and also emotional, social, mental health, cognitive functions, etc. and thus affect health related quality of life (HRQoL). Extent of disabilities and impairments tend to increase as severity of disease increases. Objective clinical and pathological indicators are not sufficient to assess the overall effect of disease. Accordingly, rating scales including patient-reported-outcomes (PRO) measures are extensively used in disease assessment. While symptoms, disabilities are subjectively reported or objectively observed, conceptual boundaries of multi-dimensional HRQoL questionnaires using Likert items or Numeric rating scales (NRS) or EQ-5D-5L EuroQoL tools to assess impact of diseases on quality of life (QoL) differ in scopes, dimensions covered, length and width of scales, scoring methods, etc. often blurred what is being measured and may not always match with clinical and research goals [1]. Large number of generic and disease-specific HRQoL instruments result in confusion about the best use of an instrument and even popular instruments show different correlations with the dimensions [2]. For better interpretations of results and adequacy of conclusions, reviews of methodological quality of HRQoL scales were suggested [3].

The paper discusses methodological limitations of summative scoring of PROs and rating scales in different formats (number of items and number of response-categories) with Tinnitus disorders as an illustrative case and provides a methodologically sound assumption-free method for converting item-scores to continuous, monotonic, normally distributed scores ensuring better arithmetic aggregations, better comparisons, satisfying desired properties of measurement, parametric analysis including statistical testing, prediction of psychological functioning or HRQoL avoiding major limitations.

### Literature survey:

#### Tinnitus disorders and HRQoL:

Tinnitus is a hearing disorder associated with a number of audio logical, cognitive, and neurological factors including among others listening difficulties, poor concentration, stress, anxiety, depression [4]. In short, Tinnitus is a subjective sensation of a sound in the absence of sound sources, external stimulus [5]. No satisfactory objective tools are there to measure extent of audio logical disorder due to Tinnitus [6]. NICE guideline-155 [7] considered tests like Audiometry (hearing assessments), Tympanometry (function of ear drum and middle ear), Acoustic reflexes (functioning of the middle ear muscles against loud sounds), Uncomfortable loudness level (ULL)/Loudness discomfort level (LDL), Otoacoustic emissions (OAEs), etc. to assess several outcomes like Tinnitus severity and its impacts, HRQoL, associated complaints (depression, sleep, anxiety, etc.). But, use of such tests varied significantly and acoustic reflexes and ULL/LDL tests, OAEs may cause harms. There is no universally accepted effective treatment that can radically cure tinnitus [8]. Instead, PROs are used to measure tinnitus severity (TS), changes due to treatments, etc. [9].

Two popular questionnaires in this context are Tinnitus Handicap Inventory (*THI*) [10] and Tinnitus Functional Index (*TFI*) [11]. 25 items of *THI* are distributed over three subscales: functional (11-items), emotional (9-items), and catastrophic (5-items). Each item is 3-point (0: none, 2: sometimes, 4:always). Total score, calculated by summing all responses, ranges from 0 to 100, where higher score implies greater handicap from tinnitus. Thus, improvement is indicated when *THI* score is reduced. A single factor solution emerged from factor analysis (FA) of *THI* and thus, separate analysis with subscales is not relevant [12].

*TFI* covers eight domains (intrusiveness, sense of control, sleep, cognition, auditory, relaxation, QoL, and emotional impact of tinnitus), to measure TS. Scoring of *TFI* is not so simple like *THI*. Here, responses to Item1 and 3 are transformed from percentage scale to 0-10 scale. Each subscale contains 3 items except for the QoL-subscale with 4 items. Overall *TFI* scores are found by the following steps:

- I. Take sum of all valid answers (maximum possible score = 250 if the respondent were to rate all 25 *TFI* items, each with 11 response-options marked from 0 to 10).
- II. Divide by the number of items for which that respondent provided valid answers (yields the

respondent's mean item score for all items having valid answers).

- III. Multiply by 10 (provides that respondent's overall *TFI* score in 0-100 range).

However, if a respondent omits 7 or more items, his/her overall *TFI* score is not valid. Moreover, overall *TFI* score  $\neq$  sum of the subscale scores.

The proposed 8-factor structure of *TFI* was not fully confirmed for non-clinical sample [13] who opined that floor effects in most of the *TFI* items may not make the scale a good measure of change. Four factors of Italian version of *TFI* were found [14]. Instead of Time consuming *THI* with 25 items, simplified version of Tinnitus Handicap Inventory (*THI-S*) with 10 items was introduced to assess severity of tinnitus handicap and associated psychological distress [15].

*THI* and *TFI* global scores were compared by a cohort study [6] with Enriched Acoustic Environment therapy and found *TFI* > *THI* at lower level of severity and *TFI* < *THI* for higher severity, implying different distributions of *THI* and *TFI* scores. Despite high correlation between *TFI* and *THI* at the level of 0.77 [14], *TFI* was preferred due to higher responsiveness to changes resulting from treatments [13].

In addition to auditory problems, association of tinnitus with the central nervous structures for the pathophysiology of tinnitus was found [16]. Review of tinnitus symptoms by [17] observed that patients with tinnitus suffered from frustration, annoyance, irritation, anxiety, and depression with impaired QoL. However, cause and effect relationships of tinnitus severity and relevant psychological factors are not known. Thus, empirical relationships can be explored to establish relationships of TS and psychological disorders and the resulting HRQoL.

A number of generic and disease specific instruments are there to assess cognitive disorders and HRQoL. For example, [18] considered *THI* and Tinnitus Questionnaire (*TQ*) [19] for assessment of TS and *SCL-90-R* containing 90-items distributed over three global categories (Global Severity Index, Positive Symptom Distress Index and Positive Symptom Total) and nine inter-correlated subscale categories (somatization, obsession-compulsion, interpersonal sensitivity, depression, anxiety, hostility, phobic anxiety, paranoid ideation and psychoticism) and found that emotional factors and socio-demographic variables influence *TQ* and *THI* scores in different ways. Tinnitus patients showed high scores in depression component of *SCL-90-R* primarily due to overlapping of some items in these questionnaires [20]. Considering Hospital Anxiety and Depression Scale (*HADS*), Comprehensive Psychopathological Rating Scale (*CPRS-S-A*) and *SCIP-P* for psychiatric diagnosis in accordance with the DSM-III-R to assess severity of anxiety and depression, [21] found moderate correlations between TS and psychiatric disorders and depression, but lower correlation for anxiety related disorders.

Different scales to assess tinnitus handicap and different tests to detect impacts of tinnitus handicap on psychological functioning or HRQoL are not comparable since the tests differ in number of

items, number of levels in items, scoring methods, factors/constructs and different distributions of scores of respective tests. Good measure of TS and its impacts is felt needed with theoretical and methodological rigor. [22] emphasized that ordinality, discreteness, nonlinearity, skew, ceiling and floor effects in rating data create problems for undertaking parametric statistical analysis. Normality checks of rating data are necessitated for inference procedures [23].

### Major limitations of ordinal scores:

#### Not-meaningful Addition:

Levels of a Likert item are ordered but not equidistant [24]. Construct-distance in a *THI* item from “none” to “sometimes”  $\neq$  distance between “always” and “sometimes”. Non-satisfaction of equidistant property of ordinal item implies addition is not meaningful. Meaningful addition of  $X + Y = Z$  requires similar distribution of  $X$  and  $Y$  and also knowledge of distribution of  $Z$ . [25] reviewed areas regarding the level of rating scales emphasizing statistical perspectives in using such scales.

#### Non-satisfaction of normality assumptions:

[26] found gender effect on *THI* as  $\overline{THI}_{Female} > \overline{THI}_{Male}$ . Usual procedure to test equality of mean score of two groups is through *t*-test or paired *t*-test, which assume normally distributed scores. Techniques like *F*-test, Principal component analysis (PCA), FA, etc. also assume normally distributed scores. Verification of normality is therefore required for adopting such techniques. Problems arise if test of normality fails.

Multiple linear regressions can be used to find empirical relationship of TS as dependent variable ( $Y$ ) and various associated factors of Tinnitus as independent variables  $X_1, X_2, \dots, X_m$ . Similarly, and to predict Psychological/emotional disorders or HRQoL. However, major assumptions of multiple linear regressions are: linearity; normal distribution of errors in prediction (residuals) with zero mean and constant variance (homoscedasticity), and no pair of independent variables are highly correlated (multicollinearity).

High value of correlation coefficient ( $r$ ) or coefficient of determination ( $R^2$ ) may not justify linearity. For example, if  $X$  takes integer values from 1 to 30,  $r_{X,f(X)} \geq 0.92$  for  $f(X) = X^2, X^3, \log_{10} X$ , and  $\sin X$  despite non-linear relationship between  $X$  and  $f(X)$ . Regression equation of  $f(X)$  on  $X$  was not justified since error scores did not follow normal distribution [27].

Moreover, observed value of correlation depends heavily on group heterogeneity and may not confirm high comparability. [27] gave an example where  $X \sim N(0,1)$  and  $Y = \frac{1}{\sqrt{2\pi}} e^{-\frac{1}{2}X^2}$ . Here,  $r_{XY} = -0.93302$  for  $0 \leq X \leq 3.9$  and  $r_{XY} = 0.0004$  for  $-3.9 \leq X \leq 3.9$ , indicating that homogeneity of data may underestimate or overestimate the correlation. Thus, value of ( $r$ ) or  $R^2$  may not always justify fitting of regression equation.

#### Comparability:

[28] Concluded:

- i) *THI* and *THI-S* were highly comparable since their correlation was 0.90 and
- ii) *THI-S* is a psychometrically robust measure of tinnitus handicap since it had test-retest reliability of 0.81

However, concept of comparability is different from correlation. Comparability of two scales (Scale-1 and Scale-2) may demand that for any given score  $x_0$  of Scale-1, one can find uniquely corresponding score  $y_0$  of Scale-2 and vice versa; equal rank orderings by the scales, even if the scales have different formats. For example,  $X$  and  $1/X$  are quite comparable despite  $r_{X,1/X} = -0.65$  for  $X: 1, 2, 3 \dots 30$ .

High value of test-retest reliability ( $r_{test-retest}$ ) of *THI-S* may not imply that *THI-S* is a robust measure.  $r_{test-retest}$  of *THI-S* may be high if there is no effect of treatments or scores of each subject improved or deteriorated uniformly due to treatments. Such reliability may not reflect true stability of the construct (s). Practice or learning effects during the time can influence  $r_{Test-retest}$  values depending on time gap, for which no consensus exists. Thus, the assumption of unchanged true scores may not hold always. [29] used correlation, and not agreement to find  $r_{test-retest}$  of Internet Addiction Test developed by [30]. Clearly,  $r_{test-retest}$  may not be a sufficient condition to demonstrate agreements.

#### Non-equivalent boundary points:

*THI* score of 0-16 means "no or slight handicap", 18 to 36 indicates "mild", 38 to 56 indicates "moderate", 58 to 76 indicates "severe", and a score of 78-100 is classified as "catastrophic handicap". For *TFI*, scores between 0 and 18 are low severity; scores between 18 and 42 are lower moderate; scores between 42 and 65 are upper moderate; and scores greater than 65 are high severity. Clearly, boundary points of classifications are different for *THI* and *TFI*.

Question arises whether *THI* score of 16 is equivalent to *TFI* score of 18. Similarly, equivalency of 100 (in *THI*) and 65 (in *TFI*) can be questioned. In other words, if percentage of subjects up to 100 (in *THI*) = percentage of subjects up to 65 (in *TFI*), then 100 in *THI* is equivalent to 65 in *TFI* and vice versa. Equivalent scores of two scales say *THI* and *TFI* can be obtained by solving the equation  $\int_{-\infty}^{x_0} f(x)dx = \int_{-\infty}^{y_0} g(y)dy$  (1)

where ( $x$ ) and ( $y$ ) denotes the normal pdf of *THI* and *TFI* respectively and  $x_0$  is a given value of *THI* (say). The equation (1) ensures area of the curve  $f(x)$  up to  $x_0$  = area of the curve  $g(y)$  up to  $y_0$  which can be solved using standard Normal table, irrespective of scale formats and dimensions. Equivalent score combinations  $\{x_0, y_0\}$  are perfectly correlated and give same ranking of individuals with respect to either  $x_0$  or  $y_0$ .

#### Psychometric qualities:

##### Validity:

Exploratory factor analysis was used along with Cronbach's alpha and  $r_{test-retest}$ , convergent and discriminant validity of *I-TFI* [14]. Here, convergent validity was found considering *I-TFI* total scores and subscale scores with the global scores from the *THI* and the 11-point Numeric Rating Scale of annoyance (*NRS-A*) (where item scores ranges from 0 (minimal annoyance) to 10 (maximum annoyance) specified by *ISO 15666-2021*[31]. The discriminant validity was found by correlating the total and sub-scale scores of the *I-TFI* with the global Beck Depression Inventory-Primary Care Version (*BDI-PC*) scores (an instrument with 7 number of 4-point items from 0 to 3 for assessing depression) [32].

Convergent and discriminant validities are two aspects of construct validity where scores of the test in question is correlated with a chosen criterion scale. The selected criterion scale with different score distributions, different factor structures and factor loadings, different domains of one or more constructs etc. may influence the validity as a correlation coefficient. Different selections of criterion scale may give rise to different values of validity of a scale. Other illustrative factors influencing validity of a PRO could be participant bias, social desirability, demand characteristics, etc. [33]. High correlation between test scores and criterion scores may imply that the test is not needed and the criterion scale will suffice. In addition, construct validity is difficult to interpret when a test measures several factors. Better is to avoid the problems of construct validity and assess validity of a test by factorial validity (FV) which is defined as

$$FV = \frac{\lambda_1}{\sum \lambda_i} \quad (2)$$

where  $\lambda_1$  denotes the highest eigenvalue corresponding to the main factor for which the scale was developed.  $\sum \lambda_i$  is the sum of eigenvalues = trace of the variance-covariance matrix = Sum of item variances. Clearly, FV is high for unidimensional tests. FV reflects validity of the main factor for which the test was developed [34]. Tracy–Widom (TW) statistic can be used to test significance of the largest or other eigenvalues [35].

### Reliability:

Cronbach's alpha is commonly used to find test reliability as a measure of internal consistency, which is concerned with the homogeneity of the items within a test. Thus, alpha works best for one-dimensional test. Alpha assumes uncorrelated errors and **tau-equivalent items which imply all the factor loadings are same** [36]. However, equality of **factor loadings** is rather rare for tests on cognitive tasks [37]. If items are not essentially tau-equivalent and the test measure different constructs i.e. multi-dimensional tests, alpha may get distorted. However, many scales like *TFI* reports alpha despite finding several factors from PCA or FA.  $\alpha > 0$  if  $\sum_{i \neq k=1}^n Cov(X_i, X_j) > 0$ . The construct with highest eigenvalue had the maximum alpha [38]. Using results of PCA, [39] proposed test reliability

$$\alpha_{PCA} = \left( \frac{m}{m-1} \right) \left( 1 - \frac{1}{\lambda_1} \right) \quad (3)$$

where  $\lambda_1$  is the first (largest) eigenvalue of correlation matrix of  $m$ -

number of items. Equation (2) and (3) can help to derive relationship between FV and  $\alpha_{PCA}$ .

Sampling distribution of alpha was derived by [40] assuming (i) items are essentially  $\tau$ -equivalent, (ii) normally distributed true scores and measurement errors and found that  $\frac{1-\alpha}{1-\hat{\alpha}} \sim F_{(n-1), (n-1), (k-1)}$  where  $n$  denotes the sample size and  $k$  denotes the number of items in the test,  $\alpha$  is the population coefficient and  $\hat{\alpha}$  the sample estimate.

Clearly, different methods of finding reliability deviating from definition of reliability may give different values of reliability even from the same sample. [41] proposed finding theoretical reliability ( $r_{tt(Theoretical)}$ ) as per its definition from single administration of the test containing  $m$ -items as

$$r_{tt(Theoretical)} = 1 - \frac{\|X_g\|^2 + \|X_h\|^2 - 2\|X_g\|\|X_h\|\cos\theta_{gh}}{nS_X^2} \quad (4)$$

where the test is dichotomized to two parallel sub-tests ( $g$ -th and  $h$ -th) each with  $\frac{m}{2}$  items,  $\|X_g\|$  and  $\|X_h\|$  are length of the sub-test vectors  $\|X_g\|$  and  $\|X_h\|$  respectively computed as  $\|X_g\| = \sqrt{\sum_{i=1}^{m/2} X_{ig}^2}$  and  $\|X_h\| = \sqrt{\sum_{i=1}^{m/2} X_{ih}^2}$  and  $\theta_{gh}$  is the angle between the  $X_g$  and  $X_h$ .

### Proposed method:

[41] proposed transformation of raw scores of  $i$ -th Likert item to continuous, monotonic equidistant scores ( $E_i$ -scores) by taking data based positive weights  $W_{i1}, W_{i2}, W_{i3}, W_{i4}, W_{i5}$  considering frequency of response-categories of an item so that  $5W_{i5} - 4W_{i4} = 4W_{i4} - 3W_{i3} = 3W_{i3} - 2W_{i2} = 2W_{i2} - W_{i1} = \text{Constant}$ , value of which is different for different items.

For an EQ-5D-5L items, weights are taken as proportion of responses in  $j$ -th level of  $i$ -th item i.e.  $W_{ij} = \frac{f_{ij}}{n}$ . Health-profile of a person is taken as weighted sum. For example, profile of 1-2-3-4-5 for  $i$ -th person ( $E_i$ ) is  $1(W_{11}) + 2(W_{22}) + 3(W_{33}) + 4(W_{44}) + 5(W_{55})$  which is different from the profile 5-4-3-2-1 for  $j$ -th person  $E_j = 5(W_{11}) + 4(W_{22}) + 3(W_{33}) + 2(W_{44}) + 1(W_{55})$ .  $E_i$ -scores as weighted sum are standardized to  $Z_i = \frac{E_i - \bar{E}_i}{SD(E_i)} \sim N(0, 1)$  and further transformed to get proposed score  $P_i$  by  $P_i = (100 - 1) \left[ \frac{Z_i - \text{Min}Z_i}{\text{Max}Z_i - \text{Min}Z_i} \right] + 1$  (5)

where  $1 \leq P_i \leq 100$  ensures uniformity in item score-range. Normally distributed  $P_i$  scores of items/indicators belonging to a dimension can be added to get dimension scores ( $D_i$ ). *Tinnitus severity Index (TSI)* is defined as the scale score which is the sum of the dimension scores = sum of all item-wise  $P_i$  -scores.

*TSI* and also  $D_i$ s will follow normal. For example, if scores of the  $i$ -th item  $\sim N(\mu_i, \sigma_i)$ ,  $TSI \sim$  normal with mean  $\sum_i \mu_i$  and variance  $[\sum \sigma_i^2 + 2 \sum_{i \neq j} Cov(P_i, P_j)]$ . Thus, probability density function (pdf) of *TSI* as convolution of item-wise normally distributed  $P_i$  -scores can be found where parameters of the distribution of *TSI* can be estimated from the data.

**Empirical Illustration:**

Illustration of the proposed transformation of ordinal raw scores of items to continuous, monotonic equidistant scores ( $E_i$ -scores) by data based weights to different response-categories of different items are given below with hypothetical data of a scale with five items with response-categories 1, 2, 3, 4, 5 with  $n=100$  are given in Table-1 and Table-2 below.

Descripti on	Response-categories						To tal
	1	2	3	4	5	6	
Item-1							
Frequency	13	16	12	19	23	17	100
Weights	0.10095 9	0.1 564 87	0.1 749 96	0.1 842 5	0.1 989 03	0.1 935 05	1.0
Item-2							
Frequency	13	13	9	31	21	13	100
Weights	0.06092 6	0.1 502 84	0.1 800 7	0.1 949 63	0.2 038 99	0.2 098 56	1.0
Item-3							
Frequency	7	17	11	32	25	8	100
Weights	0.04713 8	0.1 481 48	0.1 818 18	0.1 986 53	0.2 087 54	0.2 154 88	1.0
Item-4							
Frequency	15	11	20	13	13	28	100
Weights	0.08163 1	0.1 573 24	0.1 553 46	0.1 951 71	0.2 027 41	0.2 077 87	1.0
Item-5							
Frequency	21	18	17	11	13	20	100
Weights	0.10128 9	0.1 566 38	0.1 749 54	0.1 841 52	0.1 896 87	0.1 933 7	1.0

**Table 1:** Items and weights to response-categories. Item-wise mean and SD of Raw scores,  $E$ - scores and normal distribution of  $P$ -scores are shown below.

6-point Items	Raw scores(X)		$E$ - scores		Distribution of $P$ -scores
	$\bar{X}$	SD(X)	$\bar{E}$	SD(E)	
1	3.74	1.673	0.126	0.355	$N(55.107, 33.046^2)$
2	3.73	1.569	0.141	0.376	$N(55.054, 31.066^2)$
3	3.75	1.395	0.119	0.345	$N(55.686, 27.296^2)$
4	3.82	1.800	0.183	0.428	$N(55.449,$

					36.38.0 <sup>2</sup> )
5	3.37	1.829	0.150	0.387	$N(47.926, 36.221^2)$
Scale	18.41	3.621	0.681	0.825	$N(269.222, 71.848^2)$

**Table 2:** Item-wise mean and SD

For EQ-5D-5L, weights to  $i$ -th level of  $j$ -th dimension is taken as  $W_{ij} = \frac{n_{ij}}{n} = \frac{\text{Freq.of } (i-j)\text{th cell}}{\text{Sample size}}$  is illustrated in Table-3 with hypothetical data ( $n=463$ ).

	Dimention1 (Frequency) and weight	Dimen sion 2 (Freuency) and weight s	Dimen sion 3 (Freuency) and weight s	Dimen sion 4 (Freuency) and weight s	Dime nsion 5 (Freuency) and weight s
Level 1	(30) $\frac{30}{463} =$ 0.064795	(18) 0.0388 77	(35) 0.0755 94	(20) 0.0431 97	(178) 0.384 449
Level 2	(111) (0.239741)	(24) 0.0518 36	(57) 0.1231 1	(53) 0.1144 71	(101) 0.218 143
Level 3	(113) 0.24406	(23) 0.0496 76	(22) 0.0475 16	(198) 0.4276 46	(27) 0.058 315
Level 4	(168) 0.362851	(161) 0.3477 32	(167) 0.3606 91	(165) 0.3563 71	(91) 0.196 544
Level 5	(41) 0.088553	(237) 0.5118 79	(182) 0.3930 89	(27) 0.0583 15	(66) 0.142 549
Total	(463) 1.0	(463) 1.0	(463) 1.0	(463) 1.0	(463) 1.0

**Table 3:** Weights to different Level–Dimension combinations

**Results:**

Item-wise mean and SD of  $P$ -scores and scale scores followed normal distributions, data-driven parameters of which were derived.

Score of the profile 1-2-3-4-5 is  $1(0.064795) + 2(0.051836) + 3(0.047516) + 4(0.356371) + 5(0.142549) = 2.449244$  which is different from the score of profile 5-4-3-2-1 =  $5(0.088553) + 4(0.347732) + 3(0.047516) + 2(0.114471) + 1(0.384449) = 2.589633$

$TSI$  scores followed normal considering pattern of responses unlike summative Likert scores and gave unique ranks to the individuals satisfying desired properties like:

- Same range of scores for each item or health-profile
- Continuous and monotonically increasing. A marginal increase in an item/indicator will increase  $TSI$ .
- Avoided skew and outliers (so that there is no bias for

- advantaged or disadvantaged groups)
- For  $i$ -th dimension, contribution to  $TSI$  and elasticity are quantified respectively by  $\frac{D_i}{TSI} \times 100$  and  $\frac{\frac{\Delta TSI}{TSI}}{\frac{\Delta D_i}{D_i}}$  to show relative importance of the dimensions from two different angles.

### Benefits:

- iii) Provides total score of an individual for any scale irrespective of factor structures unlike SF-36 [42].
- iv) Progress/deterioration of the  $i$ -th patient in  $t$ -th time-period over the previous year is assessed by  $\frac{(TSI)_{it} - (TSI)_{i(t-1)}}{(TSI)_{i(t-1)}} \times 100$  which quantifies responsiveness of  $TSI$ -scale and effectiveness of adopted policy measures.  $(TSI)_{it} > (TSI)_{i(t-1)} \Rightarrow$  Progress in  $t$ -th period over  $(t-1)$ -th period. Deterioration may be probed to identify the dimension(s) where deteriorations occurred and initiate possible corrective actions. Similarly, progress for a group of patients is indicated if  $(TSI)_{it} > (TSI)_{i(t-1)}$
- v) Plotting of progress/deterioration of one or a sample of patients across time helps to compare progress pattern that is, response to the treatments from the beginning of the longitudinal study. A decreasing graph of  $TSI_{it}$  and time ( $t$ ) indicates improvement of the  $i$ -th patient over time and an increasing graph will indicate the reverse. Such plot is akin to hazard function of survival.

Responsiveness of  $TSI$  enables practitioners or researcher to know time-to-event outcomes from the beginning of observation (time of diagnosis) to the occurrence of the relevant events (disease recurrence or progress/deterioration of TS) as a continuous variable.

Possible to find extent of association between  $TSI$ -scores and HRQoL-scores as Pearsonian correlation or by multiple correlation between  $TSI$ -scores and dimension scores of HRQoL or as canonical correlation between dimensions of  $TSI$  and dimension of HRQoL along with finding equivalent score combinations of  $TSI$  and HRQoL.

Regression equation of  $TSI$  on HRQoL can be fitted using HRQoL scores (or dimensions scores) as predictors of  $TSI$ . Equation of the form HRQoL scores =  $\alpha + \beta \cdot TSI$  can also be fitted to know effect of  $TSI$  on HRQoL. However, checking normality of error scores is needed in fitting regression equations.

- vi) Facilitates statistical tests of equality of mean and variance of  $TSI$  for two groups or a single group at different time periods like  $H_0: \mu_1 = \mu_2$  or  $H_0: \sigma_1^2 = \sigma_2^2$  using longitudinal data or snapshot data. Statistical tests of significance of

progress of  $TSI$  or  $i$ -th dimension of  $TSI$  can be tested by  $H_0: \frac{(TSI)_{it} - (TSI)_{i(t-1)}}{(TSI)_{i(t-1)}} = 0$  or  $H_0: \frac{D_{it} - D_{i(t-1)}}{D_{i(t-1)}} = 0$  since ratio of two normally distributed variables  $\sim \chi^2$  distribution

Estimation of  $\overline{TSI}$  and  $\sigma_{TSI}^2$  at population level can be made from a representative sample of patients drawn from the country/region.

A group of patients can be classified into four mutually exclusive classes in terms of  $TSI$ -scores by quartile clustering with equal probability to each class i.e.

$$\int_{Q_1}^{Q_2} f(x) dx = \int_{Q_2}^{Q_3} f(x) dx = \int_{Q_3}^{Q_4} f(x) dx = \int_{Q_4}^{Q_5} f(x) dx$$

If item scores are transformed to  $P$ -scores before dichotomization, it helps to test  $H_0: r_{tt(\text{Theoretical})} = 1$  which is equivalent to  $H_0: \sigma_X^2 = \sigma_T^2$  by  $F$ -test.  $P$ -scores also help to test whether subtest scores are parallel by testing  $H_0: \bar{\mu}_g = \bar{\mu}_h$  by  $t$ -test and  $H_0: \sigma_{X_g}^2 = \sigma_{X_h}^2$  by  $F$ -test. Other tests of parallelism of  $g$ -th and  $h$ -th sub-tests are equality of regression lines  $X = \alpha_1 + \beta_1 X_g$  and  $X = \alpha_2 + \beta_2 X_h$  by ANOVA or by Mahalanobis  $D^2 = d^T S^{-1} d$  where  $d_i = \bar{X}_{g_i} - \bar{X}_{h_i}$  for the  $i$ -th item.

$P$ -scores enable undertaking PCA and compute factorial validity as ratio of first eigenvalue and sum of all eigenvalues to reflect validity of the main factor being measured by the test.

### Discussion:

The paper addresses methodological issues of tools measuring Tinnitus severity and HRQoL and proposes remedial measures by transforming ordinal item scores of each scale to follow normal distribution for meaningful evaluation of measurement properties and better utilization of such tests. Normally distributed proposed scores ( $P$ -scores) satisfy desired properties, facilitate meaningful aggregation, better comparisons and rankings, offer platform for parametric analysis including statistical testing, fitting regression equations of  $TSI$  on HRQoL or HRQoL on  $TSI$ .  $P$ -scores also helps to find reliability as per theoretical definition, factorial validity avoiding criterion variable, association between  $TSI$  and HRQoL or their dimensions, assessment of progress/deterioration of one or a group of patients, efficiency of classification, equivalent scores of two tests, etc.

Proposed method can include all indicators (pathological, clinical and patient-reported- outcomes) either in ratio scale or in ordinal scale irrespective of scale formats without any bias for advantaged or disadvantaged groups.

The method is well applicable for different formats of scales to assess severity/disability of any disease trying to assess disease-status by PROs and pathological, clinical variables and also various HRQoL instruments including EQ-5D-5L.

### Conclusions:

The paper suggests a simple method of obtaining  $TSI$ -score and HRQoL-score of patients considering multi-criteria goals by normally distributed  $P$ -scores, avoiding limitations of existing methods which are either not methodologically sound or involve assumptions, verification of which are difficult. The method helps

to find the growth curve of *TSI*, which in turn provides another criterion for comparisons.

However, the proposed method requires careful selection of dimensions and items within a dimension. The proposed method with wide application areas satisfying desired properties advances scholarly. Practitioners and researchers can take advantages of the proposed method for meaningful analysis, including plotting of progress/deterioration path which is akin to hazard function of sample patients.

Empirical verifications of the proposed method, its robustness and estimation of hazard function and clinical validations are proposed as future studies.

### List of abbreviations:

ANOVA: Analysis of variance

BDI-PC: Beck Depression Inventory-Primary Care Version

CPRS-S-A: Comprehensive Psychopathological Rating Scale

DSM-III-R: Diagnostic and Statistical Manual of Mental Disorders

EQ-5D-5L: EuroQol 5dimensions 5 levels

FA: Factor Analysis

FV: factorial validity

HRQoL: Health related quality of life

HADS: Hospital Anxiety and Depression Scale

I-TFI: Italian Tinnitus Functional Index

NRS: Numeric rating scales

OAE: Otoacoustic emissions

PCA: Principal component analysis

PROS: Patient Reported Outcome scales

SCIP - P: Surgical Care Improvement Project for Psychiatric Diagnosis

SCL-90-R: Symptom Check-List-90-R

TFI: Tinnitus Functional Index

THI: Tinnitus Handicap Inventory

THI-S: Simplified version of Tinnitus Handicap Inventory

TS: Tinnitus severity

TQ: Tinnitus Questionnaire

QoL: Quality of life

ULL: Uncomfortable loudness level

LDL: Loudness discomfort level

### References:

1. Finlayson TL, Moyer CA, & Sonnad SS (2004). Assessing symptoms, disease severity, and quality of life in the clinical context: a theoretical framework. *Am J Manag Care*, 10(5), 336-344.
2. Quintanilla-Dieck L, Litvack JR, Mace JC, Smith TL (2012). Comparison of disease-specific quality-of-life instruments in the assessment of chronic rhinosinusitis. *Int Forum Allergy Rhinol*. 2(6):437-43.10.1002/alr.21057
3. Lorente S, Vives J, Viladrich C, and Losilla JM (2018). Tools to assess the measurement properties of quality of life instruments: a meta review protocol. *BMJ Open*; 8:e022829.
4. Hall DA, Fackrell K, Li AB, Thavayogan R, Smith S, Kennedy V, et al. (2018). A Narrative Synthesis of Research Evidence for Tinnitus-Related Complaints as Reported by Patients and Their Significant Others. *Health Qual. Life Outcomes*, 16, 61
5. Eggermont JJ and Tass PA (2015). Maladaptive neural synchrony in tinnitus: Origin and restoration. *Front. Neurol*, 6, 29. 10.3389/fneur.2015.00029.
6. Fernández M, Cuesta M, Sanz R, Cobo P (2023). Comparison of Tinnitus Handicap Inventory and Tinnitus Functional Index as Treatment Outcomes. *Audiol. Res.*13, 23–31.
7. National Institute for Health and Care Excellence (2020): Tinnitus: assessment and management. NICE guideline 155. London.
8. McFerran DJ, Stockdale D, Holme R, Large CH, Baguley DM (2019). Why is there no cure for tinnitus? *Front. Neurosci.* Vol.13, 802.
9. Bankstahl US, Elkin EP, Gebauer A, Görtelmeyer R (2012). Validation of the THI-12 questionnaire for international use in assessing tinnitus: a multi-centre, prospective, observational study. *Int J Audiol*; 51:671-677.
10. Newman CW, Jacobson GP, Spitzer JB (1996): Development of the Tinnitus Handicap Inventory. *Arch. Otolaryngol. Head Neck Surg.* 122(2):143-148.
11. Henry JA, Griest S, Thielman E, McMillan G, Kaelin C, Carlson KF(2016). Tinnitus Functional Index: Development, Validation, Outcomes Research, and Clinical Application. *Hear. Res.* 334, 58–64.
12. Baguley DM, Andersson G (2003). Factor Analysis of the Tinnitus Handicap Inventory. *Am. J. Audiol.* 12, 31–34.
13. Fackrell K, Hall D, Barry JG, Hoare D (2016). Psychometric properties of the Tinnitus Functional Index (TFI): Assessment in a UK research volunteer population. *Hear. Res.* 335, 220–235.
14. Barozzi S, Del Bo L, Passoni S, Ginocchio D, Negri L, Crocetti A, Ambrosetti U(2020). Psychometric properties of the Italian Tinnitus Functional Index (TFI). *Acta Otorhinolaryngol Ital.* 40(3):230-237.
15. Newman CW, Sandridge SA, Bolek L. (2008): Development and psychometric adequacy of the screening version of the tinnitus handicap inventory. *Otol Neurotol*, 29(3):276-281.
16. De Ridder D, Elgoyhen AB, Romo R, Langguth B (2011). Phantom percepts: tinnitus and pain as persisting aversive memory networks. *Proc Natl Acad Sci*; 108(20):8075–8080.
17. Langguth B. (2011). A review of tinnitus symptoms beyond 'ringing in the ears': a call to action. *Curr Med Res Opin*; 27(8):1635–1643.
18. Milerová Jana, Martin A, Dvorač T, Sand P, Königer S. & Langguth, Berthold (2013): The influence of psychological factors on tinnitus severity. *General hospital psychiatry*. 35.
19. Hallam RS, Jakes SC, Chambers C, Hinchcliffe R(1988). Cognitive variables in tinnitus Annoyance. *Br J Clin Psychol*; 27:213–22.
20. Ooms E, Meganck R, Vanheule S, Vinck B, Watelet JB, Dhooge I. (2011): Tinnitus severity and the relation to depressive symptoms: a critical study. *Otolaryngol Head Neck Surg*, 45(2); 276–281.
21. Zöger S, Svedlund J, Holgers KM (2006). Relationship between tinnitus severity and psychiatric disorders. *Psychosomatics*, 47(4):282-8. 10.1176/appi.psy.47.4.282.
22. Šimkovic M, Träuble B (2019). Robustness of statistical methods when measure is affected by ceiling and/or floor effect. *PloS one*, 14(8):e0220889

23. Clason DL, Dormody TJ (1994). Analyzing data measured by individual Likert-type items. *J Agric Educ* 35(4):31-35
24. Hobart JC, Cano SJ, Zajicek JP, Thompson AJ (2007). Rating scales as outcome measures for clinical trials in neurology: problems, solutions, and recommendations. *Lancet Neurol* 6(12):1094–1105
25. Harpe SE (2015). How to analyze Likert and other rating scale data. *Curr Pharm Teach Learn* 7(6):836–850.
26. Zarenoe R and Ledin T (2014): Quality of life in patients with tinnitus and sensorineural hearing loss, *B-ENT*, 10, 41-51.
27. Chakrabartty, Satyendra Nath (2023). Improving linearity in health science Investigations. *Health Science Journal*, 17(4):1010.
28. Lee JH, Ra JJ, Kim YH.(2014).Adequacy of the Simplified Version of the Tinnitus Handicap Inventory (THI-S) to Measure Tinnitus Handicap and Relevant Distress. *Korean J Audiol*. 18(1):19-27.
29. Jelenchick LA, Becker T, Moreno MA (2012). Assessing the psychometric properties of the Internet Addiction Test (IAT) in US college students. *Psychiatry Research* 196: 296–301
30. Young KS (1998): Caught in the Net: How to Recognize the Signs of Internet Addiction—And a Winning Strategy for Recovery. New York: John Wiley & Sons
31. ISO 15666:2021; Acoustics Assessment of Noise Annoyance by Means of Social and Socio-Acoustic Surveys. IOS: London, UK.
32. Beck AT, Steer RA, Brown GK (1996). Manual for Beck Depression Inventory-II. San Antonio, TX: Psychological Corporation.
33. Mahudin NDM, Cox T & Griffiths A (2012). Measuring rail passenger crowding: scale development and psychometric properties. *Transportation Research Part, F* 15, 38–51.
34. Parkerson HA, Noel M, Pagé MG, Fuss S, Katz J, Asmundson GJ (2013). Factorial Validity of the English-Language Version of the Pain Catastrophizing Scale–Child Version, *The Journal of Pain*, 14 (11), 1383-1389,
35. Nadler, Boaz (2011): On the distribution of the ratio of the largest eigenvalue to the trace of a Wishart matrix. *Journal of Multivariate Analysis*, 102; 363-371
36. Ogasawara, H. (2006): Approximations to the distribution of the sample coefficient alpha under non-normality. *Behaviormetrika*; 33(1), 3–26
37. Pronk T, Molenaar D, Wiers RW, Murre J. (2022). Methods to split cognitive task data for estimating split-half reliability: A comprehensive review and systematic assessment. *Psychon Bull Rev*. 29(1):44-54.
38. Huang, Rui-Ting and Tang, Tzy-Wen (2013): Examining the role of gender differences in mobile English Learning. *International Journal of Instructional Technology and Distance Learning*; 10(8), 43 - 51
39. Ten Berge JMF & Hofstee WK (1999). Coefficient alpha and reliabilities of unrotated and rotated components. *Psychometrika*, 64, 83–90.
40. Feldt LS (1965). The approximate sampling distribution of Kuder- Richardson reliability twenty. *Psychometrika*, 30, 357-370.
41. Chakrabartty, SN (2022). Disability and Quality of Life. *Health Science Journal*, 16(12); 1 – 6 10.36648/1791-809X.16.12.989
42. Chakrabartty, SN (2023b). A single measure of SF 36. *International Journal of Contemporary Research in Multidisciplinary*,2(3),01-09.